Response to Missing Parts under 37 CFR 1.52 or 1.53 PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE quired to respond to a collection of information unless it displays a valid OMB control number.

Officer trie Paper of New York Of 1995		Application Number	10/	016,015		
TRANSMITTAL	Filing Date	10/	10/26/2001  Patrick R. Clark ET AL.  2164			
FORM (to be used for all correspondence after initial filing)		First Named Inventor				
		Art Unit			216	
		Examiner Name				
Total Number of Pages in This Submission		Attorney Docket Number	01-	01-330		
ENCLOSURES (Check all that apply)						
Fee Transmittal Form		ignment Papers an Application)		After Allowance communication to Technology Center (TC)		
Fee Attached	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment/Reply	Lice	ensing-related Papers		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Pet	ition		Proprietary Information		
Affidavits/declaration(s)	11 1	ition to Convert to a visional Application		Status Letter		
Extension of Time Request	11 1	ver of Attorney, Revocation ange of Correspondence Address	х	Other Enclosures(s) (please Identify below):		
Express Abandonment Request	Teri	minal Disclaimer				
Information Disclosure Statement	Red	quest for Refund	STA	STATUS INQUIRY		
Certified Copy of Priority Documents	CD,	, Number of CD(s)				
Response to Missing Parts/ Incomplete Application	Remarks	3				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm W. Bryan McPherson III, Registration No. 41,988 Individual name Signature Date

	CERTIFICATE OF MAILING		
I hereby certify that this correspon	ndence is being deposited with the United States Postal Service as fi	rst class mail in an envelope address to:	
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date:		11/04/2004	
Typed or printed name	W. Bryan McPherson III		
Signature	WBm-Math	Date 11/04/2004	

This collection of information is required by 37 SEE 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## PATENT APPLICATION

## IN THE CAPTED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
Patrick R. Clark et al.	) Art Unit:	2164
Application No.: 10/016,015	)	
Filed: 10/26/2001	)	
For: SYSTEM AND METHOD FOR AUTOMATICALLY PROVIDING	)	
FINANCIAL DOCUMENTS	)	
Attorney Docket No.: 01-330	)	
	_/ Peoria, Illi November	inois 61629-6490

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## **STATUS INQUIRY**

Sir:

According to our records, the above-identified application was filed on October 26, 2001. To date, Applicants have not received a first Office Action on the merits.

In view of these circumstances, the undersigned respectfully requests that the Office advise him of the status of this application as soon as possible in order to determine whether further action by Applicants is required at this time.

Respectfully submitted,

W. Bryan McPherson Registration No. 41,988

Caterpillar Inc.

Telephone: (309) 675-4015 Facsimile: (309) 675-1236